



NOV 26 2001

## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/925,134	08/08/2001	Eliyahou Harari	11587 M-10237-1P US

CONFIRMATION NO. 6957

## FORMALITIES LETTER



\*OC000000006797195\*

SKJERVEN MORRILL MACPHERSON LLP  
Suite 2800  
Three Embarcadero Center  
San Francisco, CA 94111

Date Mailed: 09/26/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

11/29/2001 GTEFFERA 00000049 192386 09925134

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*A copy of this notice **MUST** be returned with the reply.*



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

09/13/11 11:01

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PATENT & TRADEMARK OFFICE

11-28-01  
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Eliyahou Harari, Jack H. Yuan, George Samachisa and Henry Chien  
Assignee: SanDisk Corporation  
Title: Non-Volatile Memory Cells Utilizing Substrate Trenches  
Serial No.: 09/925,134 Filing Date: August 8, 2001  
Docket No.: 11587 M-10237-1P US Group Art Unit: 2814

San Francisco, California  
November 26, 2001

BOX MISSING PARTS  
U.S. PATENT AND TRADEMARK OFFICE  
P.O. BOX 2327  
ARLINGTON, VA 22202

RESPONSE TO NOTICE TO FILE MISSING PARTS OF NON-  
PROVISIONAL APPLICATION - FILING DATE GRANTED

Dear Sir:

In response to the "Notice to File Missing Parts of Non-Provisional Application - Filing Date Granted" mailed by the United States Patent and Trademark Office on , the following documents are enclosed to complete the filing of the above-identified patent application:

1. A Declaration signed by the inventors in compliance with 37 CFR 1.63.
  2. An Assignment;
  3. A Power of Attorney By Assignee of Entire Interest
  4. A Submission of Formal Drawings which includes eleven sheets of drawings;
- and
5. Copy of Notice to File Missing Parts of Non-Provisional Application - Filing Date Granted.

The United States Patent and Trademark Office is hereby authorized to charge the following fees to Deposit Account No. 19-2386:

1. Surcharge for filing declaration on a date later than the filing date of the application. \$130.00

LAW OFFICES OF  
SKJERVEN MORRILL  
MacPHERSON LLP  
  
THREE EMBARCADERO  
CENTER  
28<sup>TH</sup> FLOOR  
SAN FRANCISCO, CA 94111  
(415) 217-6000  
FAX (415) 434-0646

822961 v1



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Eliyahou Harari, Jack H. Yuan, George Samachisa, and Henry Chien

Assignee: SanDisk Corporation

Title: Non-Volatile Memory Cells Utilizing Substrate Trenches

Serial No.: 09/925,134 Filing Date: August 8, 2001

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November 26, 2001

Box Missing Parts  
U.S. Patent and Trademark Office  
P.O. Box 2327  
Arlington, VA 22202

**SUBMISSION OF FORMAL DRAWINGS**

Dear Sir:

Applicant(s) submit(s) eleven (11) sheets of formal drawings, consisting of Figures 1, 2, 3, 4A, 4B, 5A, 5B, 6A, 6B, 7A, 7B, 8, 9, 10A, 10B, 11A, 11B, 12A, 12B, 13A, 13B, 13C, 14A, 14B, 14C, 15A, 15B, 16, 17A, 17B, 17C, 18, 19, and 20, in the above-named application. If there are any questions regarding these drawings, please call the undersigned at (415) 217-6000.

**EXPRESS MAIL  
LABEL NO:**

**EL873331243US**

Respectfully submitted,



Gerald P. Parsons  
Reg. No. 24,486

Nov-26, 2001  
Date

LAW OFFICES OF  
SKJERVEN MORRILL  
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2.

TOTAL FEES:

\$130.00

The Commissioner is hereby authorized to charge any additional fees, which may be required, or credit any overpayment to Deposit Account No. 19-2386.

It is hereby respectfully submitted that the enclosed documents complete the filing of the above patent application and justify the filing date of August 8, 2001. Please telephone the undersigned at (415) 217-6000, if there are any questions. This form is being submitted in duplicate.

**EXPRESS MAIL  
LABEL NO:**

**EL873331243US**

Respectfully submitted,

Gerald P. Parsons  
Reg. No. 24,486

Nov. 26, 2001  
Date

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